

# Towards a Probabilistic Model of Syntactic Change

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## Yang's (2000) Theory of Language Change

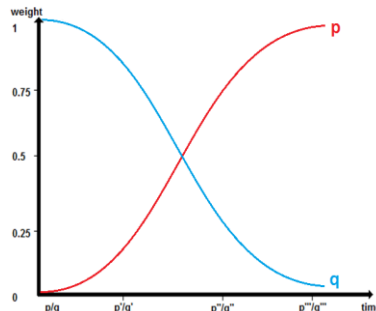
- children can acquire multiple grammars that compete with each other, say  $G_i$  and  $G_j$
- expressions produced as an adult are generated by two different sources,  $p\%$   $G_i$ ,  $q\%$   $G_j$
- $p$  and  $q$  are the weights of the grammars  $G_i$  and  $G_j$  respectively
- a proportion  $\alpha\%$  of all expressions can only be analyzed with  $G_i$ , a proportion  $\beta\%$  can only be analyzed with  $G_j$
- one grammar has an advantage if it can (exclusively) analyze more sentences than the other ( $\beta > \alpha$ )
- penalty probability =  $\alpha p$ ,  $q\beta$ , the probability that  $G_i / G_j$  encounters a sentence it cannot analyze
- the penalty probabilities determine the weight of the grammars in competition of the next generation ( $p'$ ,  $q'$ , (1)), so that one grammar gets replaced by another (2) if one grammar has an advantage (3):

(1)

$$p' = \frac{\alpha p}{\alpha p + \beta q}$$

$$q' = \frac{\beta q}{\beta q + \alpha p}$$

(2)



(3) *The fundamental theorem of language change*

$G_j$  overtakes  $G_i$  if  $\beta > \alpha$

## Modification

- instead of grammars in competition, assume rules in competition
- advantages: simplicity, allows modelling interaction of various changes, context dependency
- write:  $R_c = \{R_1 \dots R_n\}$  for a set of rules  $R_1 \dots R_n$  that are in competition

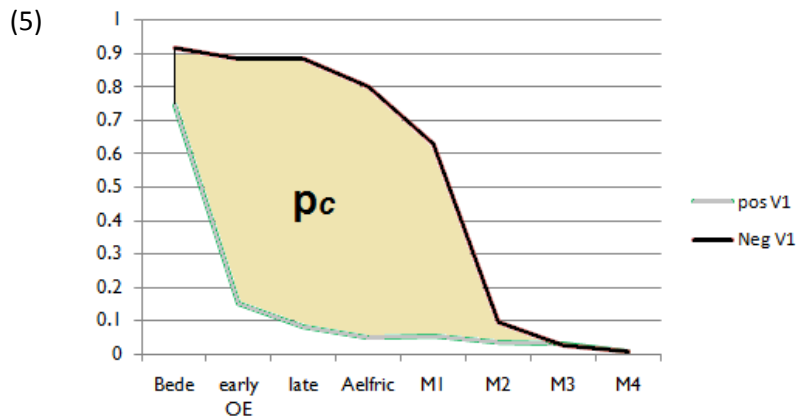
## Context Dependency: Conditional Constraints on Rules

(4)

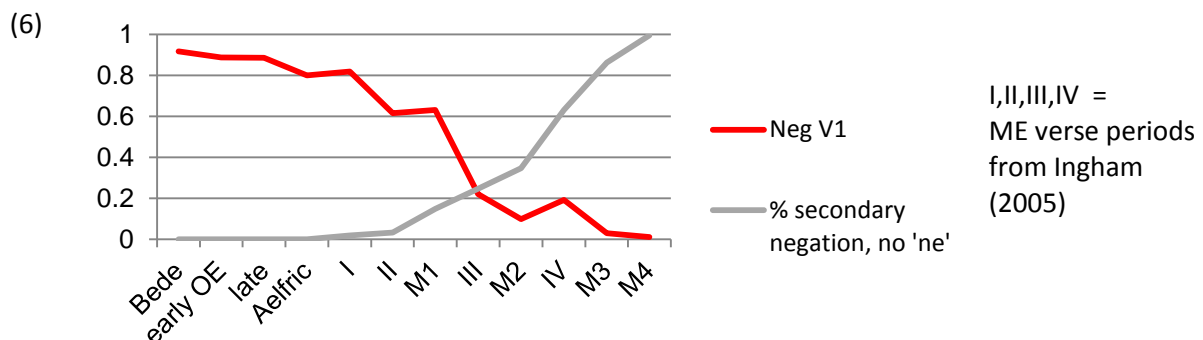
$$R_c = \left\{ \begin{array}{l} X \rightarrow \begin{array}{l} y \\ (\uparrow = \downarrow) \\ \text{if: } (\downarrow a) = v, \end{array} \quad \begin{array}{l} p = x \\ p = p + p_c \end{array} \\ Y \rightarrow \begin{array}{l} \delta \\ (\uparrow = \downarrow) \\ \text{if: } (\downarrow a) = v, \end{array} \quad \begin{array}{l} p = 1 - x \\ p = p - p_c \end{array} \end{array} \right\} \quad \begin{array}{l} (x < 1.0) \\ \leftarrow \text{----- if a certain feature } a = v \text{ is present} \\ \text{increase/reduce the weight of the rule} \\ \sum_{x \in R_c} P(x) = 1 \end{array}$$

## Example: NegV1

- two rules in competition: one that places the finite verb in C°, the other that places it in I°
- conditional constraint on the rules that checks for the presence of a NEG feature below C°/I° and, if true, increases/decreases the weight of the rule (by  $p_c$  %)



- as the NEG feature is introduced in a different domain of the structure, the conditional constraint will never be true, and so NegV1 becomes just as frequent as V1 in general
- “[i]t was not the presence of the overt morpheme *ne* itself which triggered NegV->C but rather the association with it of the interpretable feature [+neg]” (Ingham 2005: 210)
- As the model predicts, NegV1 is lost inversely proportional to the rise of secondary negation



## Functional Constraints as the endpoint of syntactic change

- When a syntactic change is “conditioned”, i.e. progressing at different rates in different contexts (7a), the base probability of one rule goes towards zero and the probability of the conditioning factor towards 1 (7b). At the end of a change, there are two mutually exclusive rules for different contexts (7c).

$$(7a) R_c = \{ R1[p=x, p+p_c=y], R2[q=1-x, q-p_c=1-y] \}$$

$$(7b) R_c = \{ R1[p=0, p+p_c=1], R2[q=1, q-p_c=0] \}$$

$$(7c) R1 [p=1] R2 [q=1]$$

## Conclusion

syntactic variation can be seen as probabilities of rules in competition; change is directed by the penalty probability of such rules (cf. Yang 2000); context dependency and perhaps more complex interaction can be modelled with conditional functional constraints

## References

- Ingham, Richard (2005) ‘The loss of Neg V->C in Middle English.’ *Linguistische Berichte* 202: 171- 206.  
 Kroch, Anthony & Taylor, Ann (2000)<sup>2</sup> *Penn-Helsinki Parsed Corpus of Middle English* Taylor, Ann et al. (2003) *The York-Toronto-Helsinki Parsed Corpus of Old English Prose* Yang, Charles (2000) ‘Internal and external forces in language change.’ *Language Variation and Change*, 12: 231-50.